



JONATHAN E. FIELDING, M.D., M.P.H.  
Director and Health Officer

JONATHAN E. FREEDMAN  
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**ADOPTED**

BOARD OF SUPERVISORS  
COUNTY OF LOS ANGELES

17 April 10, 2012

*Sachi A. Hamai*  
SACHI A. HAMAI  
EXECUTIVE OFFICER

April 03, 2012

The Honorable Board of Supervisors  
County of Los Angeles  
383 Kenneth Hahn Hall of Administration  
500 West Temple Street  
Los Angeles, California 90012

Dear Supervisors:

**APPROVAL TO ACCEPT FOUR NOTICES OF AWARD FROM THE SUBSTANCE  
ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION FOR THE PERIOD  
OF SEPTEMBER 30, 2011 THROUGH SEPTEMBER 29, 2012; AND APPROVE TWO  
NEW POSITIONS  
(ALL SUPERVISORIAL DISTRICTS)  
(3 VOTES)**

**SUBJECT**

Request approval to accept four Notices of Award from the Department of Health and Human Services Substance Abuse and Mental Health Services Administration; and approve two new positions, subject to allocation.

**IT IS RECOMMENDED THAT YOUR BOARD:**

1. Authorize and instruct the Director of the Department of Public Health (DPH), or his designee, to accept the following Notices of Award (NA) from the Department of Health and Human Services Substance Abuse and Mental Health Services Administration (SAMHSA): a) NA Number 1U79SM060709-01 (Exhibit I) in the amount of \$1,302,271; b) supplemental NA Number 3U79SM060709-01S1 (Exhibit II) in the amount of \$23,484, for a combined total award of \$1,325,755; c) NA Number 1U79SM060709-01 Revised (Exhibit III) to approve the revised budget submitted in response to Special Conditions of Award and remove said conditions; and d) NA Number 3U79SM060709-01S1 Revised (Exhibit IV) to remove restrictions placed on Indirect Cost, to support the Integrated Behavioral Health Prevention and Care (IBHPC) program, for the period of September 30, 2011 through September 29, 2012.

2. Delegate authority to the Director of DPH, or his designee, to accept future awards and/or amendments that are consistent with the requirements of NA 1U79SM060709-01 and NA

3U79SM060709-01S1 that extend the term through September 29, 2014; reflect non-material and/or ministerial revisions to the award's terms and conditions; allow for the rollover of unspent funds and/or redirection of funds; adjust the term of the award through March 29, 2015; and/or provide an increase or decrease in funding up to 25 percent above or below each grant term's annual base amount, subject to review and approval by County Counsel, and notification to your Board and the Chief Executive Office (CEO).

3. Approve one Staff Analyst, Health and one Assistant Staff Analyst, Health full time equivalent positions, in excess of that which is provided for in the DPH staffing ordinance pursuant to Section 6.06.020 of the County Code, and subject to allocation by the CEO. These positions will be 100 percent funded by the SAMHSA grant award.

### **PURPOSE/JUSTIFICATION OF RECOMMENDED ACTION**

Approval of Recommendation 1 will allow DPH to accept funding from SAMHSA to support the Los Angeles County IBHPC Program to develop and expand culturally competent behavioral health services that include mental health treatment, substance use prevention, substance abuse treatment, and HIV prevention and care services, and to integrate these services into a primary care clinic for sexual, ethnic, and racial minorities in Service Planning Area (SPA) 6, which is a highly impacted geographic area with elevated disease burden. Services will be provided by one provider at two locations in SPA 6. The expected outcomes of the IBHPC Program are: 1) increased impact of behavioral health programs (higher functionality); 2) reduction in HIV risk and incidence; and 3) reduction in health disparities among African American and Latino adults who are 18 years of age or older. The IBHPC will specifically target African American and Latino adults who are gay, bisexual, or transgender.

During the implementation phase, the grant funds will enable DPH to leverage the extensive planning activities already conducted in response to the Centers for Disease Control and Prevention's Enhanced Comprehensive HIV Prevention Planning (ECHPP) Plan for 12 qualifying local jurisdictions, including Los Angeles County.

Recommendation 2 will allow DPH to accept future awards and/or amendments that are consistent with the requirements of the SAMHSA award to extend and/or adjust the term of the award; reflect non-material revisions to terms and conditions; rollover unspent funds and/or redirect funds; and/or provide an increase or decrease in funding up to 25 percent above or below each grant term's annual base amount. This recommended action will enable DPH to accept awards and/or amendments that adjust the project period up to six months beyond the original term, in those instances where there has been an unanticipated extension of the term to allow additional time to complete services and utilize grant funding. This authority is being requested to enhance DPH's efforts to expeditiously maximize grant revenue, consistent with Board Policy 4.070: Full Utilization of Grant Funds.

Recommendation 3 authorizes DPH to fill two positions: one Staff Analyst, Health; and one Assistant Staff Analyst, Health to provide programmatic, administrative, and managerial services to support and implement the IBHPC Program and meet the grant's goals and objectives. In order to accomplish the award's goals and objectives, for the term of the award DPH may utilize the temporary personnel master agreements approved by your Board on October 19, 2010, if the two requested positions are not allocated.

### **Implementation of Strategic Plan Goals**

The recommended actions support Goal 4, Health and Mental Health, of the County's Strategic Plan.

### **FISCAL IMPACT/FINANCING**

The total amount of the awards for the period of September 30, 2011 through September 29, 2012 is \$1,325,755, 100 percent offset by SAMHSA funding, at no net County cost. DPH anticipates expending approximately \$800,000 during the first year of the grant to support programmatic expenditures. These expenditures include staffing and the anticipated contractual costs. A request to rollover any unspent funds will be submitted to SAMHSA. DPH will incur no expenditures until the recommendation to accept the awards is approved by your Board.

SAMHSA funding and appropriation has been included in DPH's fiscal year 2012-13 Recommended Budget Request.

### **FACTS AND PROVISIONS/LEGAL REQUIREMENTS**

In April 2011, SAMHSA released Request For Application (RFA) Number SM-11-006 for Minority AIDS Initiative Targeted Capacity Expansion: Integrated Behavioral Health/Primary Care Network Cooperative Agreements. On June 16, 2011, DPH submitted its application in response to the RFA. Subsequently, on September 13 and September 28, 2011, DPH was notified of its awards for the IBHPC Program. However, DPH had to renegotiate with SAMHSA to identify another qualified provider since the initial provider identified in the grant application independently received a similar SAMHSA award. Currently, DPH is working with SAMHSA to finalizing the grant's goals and objectives to meet the grant requirements.

By leveraging the SAMHSA IBHPC grant with ECHPP-funded planning activities, DPH will further the implementation of integrated behavioral health and screening efforts into HIV prevention and primary care programs to create seamless service networks which address mental health and substance use issues among racial, ethnic, and sexual minorities, in order to improve health outcomes for individuals at increased risk for HIV based on cofactors of mental health illness and/or substance use.

DPH will return to your Board to seek approval to enter into contracts with agencies who meet the requirements for the provision of integrated behavioral services consistent with IBHPC Program funding.

County Counsel has approved Exhibits I thru IV as to form. Attachment A is the Grant Management Statement for grants exceeding \$100,000.

### **IMPACT ON CURRENT SERVICES (OR PROJECTS)**

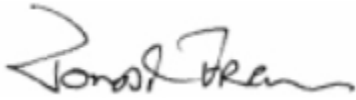
Approval of the recommended actions will allow DPH to accept funding for the expansion of critical HIV prevention, care, and treatment services to racial, ethnic, and sexual minorities to improve health outcomes for Los Angeles County residents.

The Honorable Board of Supervisors

4/3/2012

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Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Jonathan E. Fielding". The signature is fluid and cursive, with a large initial "J" and a long, sweeping underline.

JONATHAN E. FIELDING, M.D., M.P.H.

Director and Health Officer

JEF:jlh

Enclosures

c: Chief Executive Officer  
County Counsel  
Executive Officer, Board of Supervisors



**Grant Number:** 1U79SM060709-01

**Program Director:**  
Jennifer Sayles

**Project Title:** Integrated Behavioral Health and Primary Care Program

Grantee Address	Business Address
LOS ANGELES CNTY OFF OF AIDS PROGS & POL Michael Green Chief 600 South Commonwealth Avenue, 10th Floor Los Angeles, CA 900054049	Michael Green Office of AIDS Programs and Policy Chief 600 South Commonwealth Avenue, 10th Fl. Los Angeles, CA 90005

**Budget Period:** 09/30/2011 – 09/29/2012

**Project Period:** 09/30/2011 – 09/29/2014

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$1,302,271 (see “Award Calculation” in Section I and “Terms and Conditions” in Section III) to LOS ANGELES CNTY OFF OF AIDS PROGS & POL in support of the above referenced project. This award is pursuant to the authority of under Section 509, 516, and 520 of the PHS Act as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at [www.samhsa.gov](http://www.samhsa.gov) (click on “Grants” then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the “Terms and Conditions” is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,

Gwendolyn Simpson  
Grants Management Officer  
Division of Grants Management

See additional information below

**SECTION I – AWARD DATA – 1U79SM060709-01****Award Calculation (U.S. Dollars)**

Salaries and Wages	\$111,253
Fringe Benefits	\$61,018
Personnel Costs (Subtotal)	\$172,271
Supplies	\$298,281
Consortium/Contractual Cost	\$812,213
Travel Costs	\$5,921
Other	\$13,585
<b>Direct Cost</b>	<b>\$1,302,271</b>
<b>Approved Budget</b>	<b>\$1,302,271</b>
<b>Federal Share</b>	<b>\$1,302,271</b>
<b>Cumulative Prior Awards for this Budget Period</b>	<b>\$0</b>
<b>AMOUNT OF THIS ACTION (FEDERAL SHARE)</b>	<b>\$1,302,271</b>

<b>SUMMARY TOTALS FOR ALL YEARS</b>	
<b>YR</b>	<b>AMOUNT</b>
1	\$1,302,271
2	\$1,302,271
3	\$1,302,271

\* Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

**Fiscal Information:**

**CFDA Number:** 93.243  
**EIN:** 1956000927A1  
**Document Number:** 11SM60709A  
**Fiscal Year:** 2011

<b>IC</b>	<b>CAN</b>	<b>Amount</b>
SP	C96P115	\$79,332
SM	C96C523	\$746,580
SP	C96HIV6	\$155,733
TI	C96HIV5	\$155,733
TI	C96T510	\$164,893

**SM Administrative Data:**

**PCC:** MAI-TCE / **OC:** 4145

**SECTION II – PAYMENT/HOTLINE INFORMATION – 1U79SM060709-01**

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

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### **SECTION III – TERMS AND CONDITIONS – 1U79SM060709-01**

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

#### **Treatment of Program Income:**

Additional Costs

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### **SECTION IV – SM Special Terms and Condition – 1U79SM060709-01**

#### **REMARKS:**

An unobligated balance (UOB) of funds may be carried over into the current budget period without Grants Management Officer prior approval for the following reason:

Beginning October 1, 2011, a grantee may carryover previous Unobligated Balance(s) (UOB) of funds up to 25% of the total federal share from a subsequent budget period (the year in which the funds will be needed) without prior approval from the Grants Management Officer. The intention to carryover up to this threshold must be reflected in the remarks section of the Federal Financial Report (FFR) submitted for the FY2011 budget period. The subsequent FFR must reflect the actual UOB carryover amount in the remarks section. If the amount of carryover exceeds 25%, the Grants Management Specialist (GMS) will notify the grantee that they must return the federal share over the threshold due to noncompliance and may rescind this authority. However, the grantee may request for approval, a carryover above the 25% threshold, but must submit a detailed budget and narrative justification if the program requires additional funds. The total amount requested and justified must be both the 25% plus the amount requested over that in order to analyze the total unobligated balance of funds budget concept. The applicability of this authority for your project is stated above.

This cooperative agreement funds and sets out the terms and conditions governing a collaborative effort between the County of LA Department of Public Health and the Center for Substance Abuse Treatment (CSAT), Center for Substance Abuse Prevention (CSAP), Center for Mental Health Services (CMHS), Substance Abuse and Mental Health Services Administration (SAMHSA).

While the responsibility for conducting these activities lies primarily with County of LA Department of Public Health, the Center for Substance Abuse Treatment (CSAT), Center for Substance Abuse Prevention (CSAP), Center for Mental Health Services (CMHS), Substance Abuse and Mental Health Services Administration (SAMHSA), through its designated representatives shall provide continuing technical assistance, consultation, and coordination in the conduct of the project during the period of this agreement. In addition to these terms and conditions and the applicable statutes and regulations, grantees are bound by the HHS Grants Policy Statement and all requirements in the Request for Applications (RFA) document.

#### **Role of Grantees:**

##### **Grantees must:**

- (1) Comply with terms and conditions of the cooperative agreement award.
- (2) Collaborate with CSAT/CSAP/CMHS staff in project implementation and monitoring.

#### **Role of Federal Project Officer:**

The Project Officer (PO) will have overall responsibility for monitoring the conduct and progress of Grantee Sites, including conducting site visits. The PO will provide substantial input, in collaboration with the grantees, both in the planning and implementation of the program and in evaluation activities, and will make recommendations regarding program continuance. Likewise, POs will participate in the publication of results and packaging and dissemination of products and materials in order to make the findings available to the field. CSAT/CSAP/CMHS/SAMHSA staff will receive authorship/co-authorship credit on all publications to which they have made substantial contributions.

#### Role of the Grants Management Officer:

The Grants Management Officer (GMO) is responsible for all business management aspects of negotiation, award, and financial and administrative aspects of the cooperative agreement. The GMO utilizes information from site visits, reviews of expenditure and audit reports, and other appropriate means to assure that the project is operated in compliance with all applicable Federal laws, regulations, guidelines, and the terms and conditions of award. Questions concerning the applicability of regulations and policies to this cooperative agreement, and all requests for required prior approvals such as requests for permission to expend funds for certain items should be directed to the GMO. Required approvals must be provided in writing and the GMO is the only person, except for the SAMHSA Administrator, who may grant such required approvals. Written approvals granted by other officials are not binding on the government. All changes in the terms of the cooperative agreement award must be issued in writing by the GMO.

#### SPECIAL CONDITION(S) OF AWARD:

This award was reduced to meet program recommended level of funding to \$1,302,271; therefore, please submit a revised budget to your Grants Management Specialist.

Within 30 days of receiving this Notice of Award please submit to your Grants Management Specialist a revised budget with the following information per the RFA SM-11-06 page 14: "In order to ensure that SAMHSA's annual reporting requirements can be met, however, applicants will be required to provide three separate budget tables in their applications for 1) prevention activities, treatment and recovery support services for mental disorders and co-occurring and substance use disorders; 2) treatment and recovery support services for substance use disorders and co-occurring mental disorders; and 3) substance abuse prevention activities. Consistent with the FY 2011 Congressional budget appropriation for these activities and SAMHSA's allocation of the HHS MAI funds, SAMHSA expects that grantees will allocate approximately 61% of their total funding award for mental health services, 23% for substance abuse treatment, and 16% for substance abuse prevention activities as defined in the previous sentence."

Three different budgets are required as explained in the preceding paragraph, one for Mental Health, one for Treatment and one for Prevention, when submitting this revised budget provide breakdowns in order to determine if the costs are allowable, reasonable and allocable. Provide further justification in regards to the small prizes under "Supplies", explain what the \$66 per client will cover. Also, please provide a breakdown for the Other Operating Expenses.

Please include the following information per the RFA SM-11-06 page 30 "Be sure to show that no more than 20% of the total grant award will be used for infrastructure development, if necessary, and that no more than 10% of the total grant award will be used for data collection, performance measurement and performance assessment. Specifically identify the items associated with these costs in your budget."

Failure to comply with the above stated condition may result in suspension, classification as High Risk status, termination of this award or denial of funding in the future.

#### SPECIAL TERM(S) OF AWARD:

As required by the Federal Funding Accountability and Transparency Act of 2006, this new award is subject to the subaward and executive compensation reporting requirement of 2 CFR Part 170. Although the full text of this regulation is attached, you may access the language online at <http://www.samhsa.gov/grants/subaward.aspx>.



The following SAMHSA Term of Award is applicable to all (Type 1) new SAMHSA grants which start on or after Oct. 1, 2010. At this time, Type 2s (competing renewals) and Type 3s (competing supplements) are not included, but may be subject to this requirement in the future:

#### Reporting Subawards and Executive Compensation

##### a. Reporting of first-tier subawards.

1. **Applicability.** Unless you are exempt as provided in paragraph d. of this award term, you must report each action that obligates \$25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5) for a subaward to an entity (see definitions in paragraph e. of this award term).

2. **Where and when to report.**

i. You must report each obligating action described in paragraph a.1. of this award term to <http://www.fsrs.gov>.

ii. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010.)

3. **What to report.** You must report the information about each obligating action that the submission instructions posted at <http://www.fsrs.gov> specify.

##### b. Reporting Total Compensation of Recipient Executives.

1. **Applicability and what to report.** You must report total compensation for each of your five most highly compensated executives for the preceding completed fiscal year, if-

- i. the total Federal funding authorized to date under this award is \$25,000 or more;
- ii. in the preceding fiscal year, you received-

(A) 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

(B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

iii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.)

2. **Where and when to report.** You must report executive total compensation described in paragraph b.1. of this award term:

- i. As part of your registration profile at <http://www.ccr.gov>.
- ii. By the end of the month following the month in which this award is made, and annually thereafter.

##### c. Reporting of Total Compensation of Subrecipient Executives.

1. **Applicability and what to report.** Unless you are exempt as provided in paragraph d. of this award term, for each first-tier subrecipient under this award, you shall report the names and total compensation of each of the subrecipient's five most highly compensated executives for the subrecipient's preceding completed fiscal year, if-

- i. in the subrecipient's preceding fiscal year, the subrecipient received-

- (A) 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and
- (B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and subawards); and

ii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.)

2. Where and when to report. You must report subrecipient executive total compensation described in paragraph c.1. of this award term:

- i. To the recipient.
- ii. By the end of the month following the month during which you make the subaward. For example, if a subaward is obligated on any date during the month of October of a given year (i.e., between October 1 and 31), you must report any required compensation information of the subrecipient by November 30 of that year.

d. Exemptions

If, in the previous tax year, you had gross income, from all sources, under \$300,000, you are exempt from the requirements to report:

- i. Subawards, and
- ii. The total compensation of the five most highly compensated executives of any subrecipient.

e. Definitions. For purposes of this award term:

- 1. Entity means all of the following, as defined in 2 CFR part 25:
  - i. A Governmental organization, which is a State, local government, or Indian tribe;
  - ii. A foreign public entity;
  - iii. A domestic or foreign nonprofit organization;
  - iv. A domestic or foreign for-profit organization;
  - v. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.
- 2. Executive means officers, managing partners, or any other employees in management positions.
- 3. Subaward:
  - i. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
  - ii. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. II.210 of the attachment to OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations").
  - iii. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.
- 4. Subrecipient means an entity that:
  - i. Receives a subaward from you (the recipient) under this award; and
  - ii. Is accountable to you for the use of the Federal funds provided by the subaward.
- 5. Total compensation means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):

- i. Salary and bonus.
- ii. Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
- iii. Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
- iv. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- v. Above-market earnings on deferred compensation which is not tax-qualified.
- vi. Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.

#### STANDARD TERMS OF AWARD:

1) This grant is subject to the terms and conditions, included directly, or incorporated by reference on the Notice of Award (NoA). Refer to the order of precedence in Section III (Terms and Conditions) on the NoA and can be found at [www.samhsa.gov](http://www.samhsa.gov).

2) The grantee organization is legally and financially responsible for all aspects of this grant, including funds provided to sub-recipients.

3) Grant funds cannot be used to supplant current funding of existing activities. Under the HHS Grants Policy Directives, 1.02 General -- Definition: Supplant is to replace funding of a recipient's existing program with funds from a Federal grant.

4) The recommended future support as indicated on the NoA reflects TOTAL costs (direct plus indirect). Funding is subject to the availability of Federal funds, and that matching funds, (if applicable), is verifiable, progress of the grant is documented and acceptable.

5) By law, none of the funds awarded can be used to pay the salary of an individual at a rate in excess of the Executive Level I, which is \$199,700 annually.

6) "Confidentiality of Alcohol and Drug Abuse Patient Records" regulations (42 CFR 2) are applicable to any information about alcohol and other drug abuse patients obtained by a "program" (42 CFR 2.11), if the program is federally assisted in any manner (42 CFR 2.12b).

Accordingly, all project patient records are confidential and may be disclosed and used only in accordance with (42 CFR 2). The grantee is responsible for assuring compliance with these regulations and principles, including responsibility for assuring the security and confidentiality of all electronically transmitted patient material.

7) Accounting Records and Disclosure - Awardees and sub-recipients must maintain records which adequately identify the source and application of funds provided for financially assisted activities. These records must contain information pertaining to grant or subgrant awards and authorizations, obligations, unobligated balances, assets, liabilities, outlays or expenditures, and income. The awardee, and all its sub-recipients, should expect that SAMHSA, or its designee, may conduct a financial compliance audit and on-site program review of grants with significant amounts of Federal funding.

8) Per (45 CFR 74.36 and 45 CFR 92.34) and the HHS Grants Policy Statement, any copyrighted or copyrightable works developed under this cooperative agreement/grant shall be subject to a royalty-free, nonexclusive and irrevocable license to the government to reproduce, publish, or otherwise use them and to authorize others to do so for Federal Government purposes. Income earned from any copyrightable work developed under this grant must be used a program income.

9) A notice in response to the President's Welfare-to-Work Initiative was published in the Federal Register on May 16, 1997. This initiative is designed to facilitate and encourage grantees and their sub-recipients to hire welfare recipients and to provide additional needed training and/or mentoring as

needed. The text of the notice is available electronically on the OMB home page at <http://www.whitehouse.gov/omb/fedreg/omb-not.html>.

10) Program Income accrued under the award must be accounted for in accordance with (45 CFR 74.24) or (45 CFR 92.25) as applicable. Program income must be reported on the Federal Financial Report, Standard Form 425.

Program income accrued under this award may be used in accordance with the additional costs alternative described in (45 CFR 74.24(b)(1)) or (45 CFR 92.25(g)(2)) as applicable. Program income must be used to further the grant objectives and shall only be used for allowable costs as set forth in the applicable OMB Circulars A-102 ("Grants and Cooperative Agreements with State and Local Governments") and A-110 ("Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations").

11) Actions that require prior approval must be submitted in writing to the Grants Management Officer (GMO), SAMHSA. The request must bear the signature of an authorized business official of the grantee organization as well as the project director. Approval of the request may only be granted by the GMO and will be in writing. No other written or oral approval should be accepted and will not be binding on SAMHSA. For postaward requirements and instructions may be found at [www.samhsa.gov](http://www.samhsa.gov) then click on "grants", then "grants management".

12) Any replacement of, or substantial reduction in effort of the Program Director (PD) or other key staff of the grantee or any of the sub-recipients requires the written prior approval of the GMO. The GMO must approve the selection of the PD or other key personnel, if the individual being nominated for the position had not been named in the approved application, or if a replacement is needed should the incumbent step down or be unable to execute the position's responsibilities. A resume for the individual(s) being nominated must be included with the request. Key staff (or key staff positions, if staff has not been selected) are listed below:

Jennifer Sayles, Project Director @ 5% level of effort

13) Refer to the NoA under Section II (Payment/Hotline Information) regarding the Payment Management System and the HHS Inspector General's Hotline concerning fraud, waste or abuse.

14) As the grantee organization, you acknowledge acceptance of the grant terms and conditions by drawing or otherwise obtaining funds from the Payment Management System. In doing so, your organization must ensure that you exercise prudent stewardship over Federal funds and that all costs are allowable, allocable and reasonable.

15) No HHS funds may be paid as profit (fees) per (45 CFR Parts 74.81 and 92.22(2)).

16) RESTRICTIONS ON GRANTEE LOBBYING (Appropriations Act Section 503).

(a) No part of any appropriation contained in this Act shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, radio, television, or video presentation designed to support or defeat legislation pending before the Congress, except in presentation to the Congress itself or any State legislature.

(b) No part of any appropriation contained in this Act shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence legislation or appropriations pending before the Congress or any State legislature.

17) Where a conference is funded by a grant or cooperative agreement the recipient must include the following statement on all conference materials (including promotional materials, agenda, and Internet sites):

Funding for this conference was made possible (in part) by (insert grant or cooperative agreement award number) from SAMHSA. The views expressed in written conference materials or publications and by speakers and moderators do not necessarily reflect the official policies of the Department of Health and

Human Services; nor does mention of trade names, commercial practices, or organizations imply endorsement by the U.S. Government.

18) This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to <http://samhsa.gov/grants/trafficking.aspx>.

19) Grantees must comply with the requirements of the National Historical Preservation Act and EO 13287, Preserve America. The HHS Grants Policy Statement provides clarification and uniform guidance regarding preservation issues and requirements (pages I-20, "Preservation of Cultural and Historical Resources"). Questions concerning historical preservation, please contact SAMHSA's Office of Program Services, Building, Logistics and Telecommunications Branch at 240-276-1001.

20) Executive Order 13410: Promoting Quality and Efficient Health Care in Federal Government Administered or Sponsored Health Care Programs promotes efficient delivery of quality health care through the use of health information technology, transparency regarding health care quality and price, and incentives to promote the widespread adoption of health information technology and quality of care. Accordingly, all grantees that electronically exchange patient level health information to external entities where national standards exist must:

1) Use recognized health information interoperability standards at the time of any HIT system update, acquisition, or implementation, in all relevant information technology systems supported, in whole or in part, through this agreement/contract. Please consult <http://www.hhs.gov/healthit> for more information, and

2) Use Electronic Health Record systems (EHRs) that are certified by agencies authorized by the Office of the National Coordinator for Health Information Technology (ONC), or that will be certified during the life of the grant. For additional information contact: Jim Kretz at 240-276-1755 or [Jim.Kretz@samhsa.hhs.gov](mailto:Jim.Kretz@samhsa.hhs.gov); Richard Thoreson at 240-276-2827 or [Richard.Thoreson@samhsa.hhs.gov](mailto:Richard.Thoreson@samhsa.hhs.gov); or Ken Salyards at 240-276-2003 or [Kenneth.Salyards@samhsa.hhs.gov](mailto:Kenneth.Salyards@samhsa.hhs.gov).

21) If federal funds are used by the grantee to attend a meeting, conference, etc. and meal(s) are provided as part of the program, then the per diem applied to the Federal travel costs (M&IE allowance) must be reduced by the allotted meal cost(s).

22) By signing the application (HHS-5161-1) face page in Item #21, the Authorized Representative (AR) certifies (1) to the statements contained in the list of certifications\* and (2) provides the required assurances\* and checking the "I AGREE" box provides SAMHSA with the AR's agreement of compliance. It is not necessary to submit signed copies of these documents, but should be retained for your records.

23) The Division of Grants Management created a Public Assistance (P) Account in the Division of Payment Management's (DPM) payment management system to provide a separate accounting of federal funds per SAMHSA grant. When discussing your account with the DPM's Account Representative, provide the document number identified on Page 2 of the Notice of Award under Section I - AWARD DATA, Fiscal Information.

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\*The documents are available on the SAMHSA website at <http://www.samhsa.gov/Grants/new.aspx> or contained within the Request for Applications (RFA).

#### REPORTING REQUIREMENTS:

1) Federal Financial Report (FFR), (Standard Form 425) is required on an annual basis and must be submitted for each budget period as stated on the attached report due date(s) schedule after the close of the 12 month budget period. The FFR is required for each 12 month period, regardless of the overall length of the approved extension period authorized by SAMHSA. In addition, a final FFR is due within 90 days after the end of the extension. NOTE: SINGLE GRANT REPORTING IS REQUIRED FOR EACH SAMHSA PROJECT AS STATED ON THE FFR (#10 d-o). If applicable, include the required match on this form under Recipient Share (#10 i-k) and Program Income (l-o) in order for SAMHSA to determine



whether matching is being provided and the rate of expenditure is appropriate. Adjustments to the award amount, if necessary, will be made if the grantee fails to meet the match. The FFR must be prepared on a cumulative basis and all program income must be reported. THE STATUS OF ANY FEDERAL SHARE OF UNLIQUIDATED OBLIGATIONS FOR EACH 12 MONTH BUDGET PERIOD MUST BE EXPLAINED IN THE REMARKS SECTION (#12). IN ADDITION, YOUR INTENTION TO CARRYOVER THE UNOBLIGATED BALANCE OF FUNDS UP TO 25% OF THE CURRENT FEDERAL SHARE MUST BE STATED IN THE REMARKS SECTION. SUBSEQUENT FFRS MUST REFLECT THE ACTUAL CARRYOVER AMOUNT. If possible, disbursements reported on the FFR should equal/or agree with the top portion of the FFR (#10 a-c). The FFR may be accessed from the following website at [http://www.whitehouse.gov/omb/grants\\_forms](http://www.whitehouse.gov/omb/grants_forms) including instructions. The data can be entered directly on the form and the system will calculate the figures, then it can be printed and mailed to this office.

2) Submission of a Programmatic Annual report is required.

3) The grantee must comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the RFA or by the Project Officer. This information is needed in order to comply with PL 102-62 which requires that SAMHSA report evaluation data to ensure the effectiveness and efficiency of its programs.

4) Audit requirements for Federal award recipients are detailed at [http://www.whitehouse.gov/sites/default/files/omb/assets/a133/a133\\_revised\\_2007.pdf](http://www.whitehouse.gov/sites/default/files/omb/assets/a133/a133_revised_2007.pdf). Specifically, non-Federal entities that expend a total of \$500,000 or more in Federal awards, during each Fiscal Year, are required to have an audit completed in accordance with OMB Circular A-133. The Circular defines Federal awards as Federal financial assistance (grants) and Federal cost-reimbursement (contracts) received both directly from a Federal awarding agency as well as indirectly from a pass-through entity and requires entities submit, to the Federal Audit Clearinghouse (FAC), a completed Data Collection Form (SF-SAC) along with the Audit Report, within the earlier of 30 days after receipt of the report or 9 months after the fiscal year end.

The Data Collection Forms and Audit Reports MUST be submitted to the FAC electronically at <http://harvester.census.gov/fac/collect/ddeindex.html>. For questions and information concerning the submission process, please visit <http://harvester.census.gov/sac/> or call the FAC 1-800-253-0696.

Failure to comply with the above stated terms and conditions may result in suspension, classification as High Risk status, termination of this award or denial of funding in the future.

#### INDIRECT COSTS:

If the grantee chooses to establish an indirect cost rate agreement, it is required to submit an indirect cost rate proposal to the appropriate office within 90 days from the start date of the project period. For additional information, please refer to HHS Grants Policy Statement Section I, pages 23-24.

SAMHSA will not accept a research indirect cost rate. The grantee must use other-sponsored program rate or lowest rate available.

Please contact the appropriate office of the Division of Cost Allocation to begin the process for establishing an indirect cost rate. To find a list of HHS Division of Cost Allocation Regional Offices, go to the SAMHSA website [www.samhsa.gov](http://www.samhsa.gov), then click on "grants"; then "grants management"; then "Important offices".

All responses to special terms and conditions of award and postaward requests must be mailed to the Division of Grants Management, Office of Financial Resources (OFR), SAMHSA below:

For Regular Delivery:  
Division of Grants Management,  
OFR, SAMHSA  
1 Choke Cherry Road, Room 7-1091  
Rockville, MD 20857

For Overnight or Direct Delivery:  
Division of Grants Management,  
OFR, SAMHSA  
1 Choke Cherry Road, Room 7-1091  
Rockville, MD 20850

#### CONTACTS:

Ilze L Ruditis, Program Official

**Phone:** (240) 276-1961 **Email:** ilze.ruditis@samhsa.hhs.gov **Fax:** (240) 276-1380

Sherie Fairfax, Grants Specialist

**Phone:** 240-276-1415 **Email:** sherie.fairfax@samhsa.hhs.gov **Fax:** 240-276-1430



**Grant Number:** 3U79SM060709-01S1

**Program Director:**  
Jennifer Sayles

**Project Title:** Integrated Behavioral Health and Primary Care Program

Grantee Address	Business Address
LOS ANGELES CNTY OFF OF AIDS PROGS & POL Michael Green Chief 600 South Commonwealth Avenue, 10th Floor Los Angeles, CA 900054049	Michael Green Office of AIDS Programs and Policy Chief 600 South Commonwealth Avenue, 10th Fl. Los Angeles, CA 90005

**Budget Period:** 09/30/2011 – 09/29/2012

**Project Period:** 09/30/2011 – 09/29/2014

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a supplement in the amount of \$23,484 (see “Award Calculation” in Section I and “Terms and Conditions” in Section III) to LOS ANGELES CNTY OFF OF AIDS PROGS & POL in support of the above referenced project. This award is pursuant to the authority of under Section 509, 516, and 520 of the PHS Act as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at [www.samhsa.gov](http://www.samhsa.gov) (click on “Grants” then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the “Terms and Conditions” is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,

Gwendolyn Simpson  
Grants Management Officer  
Division of Grants Management

See additional information below



**SECTION I – AWARD DATA – 3U79SM060709-01S1****Award Calculation (U.S. Dollars)**

Salaries and Wages	\$111,253
Fringe Benefits	\$61,018
Personnel Costs (Subtotal)	\$172,271
Supplies	\$298,281
Consortium/Contractual Cost	\$812,213
Travel Costs	\$5,921
Other	\$37,069
<b>Direct Cost</b>	<b>\$1,325,755</b>
<b>Approved Budget</b>	<b>\$1,325,755</b>
<b>Federal Share</b>	<b>\$1,325,755</b>
<b>Cumulative Prior Awards for this Budget Period</b>	<b>\$1,302,271</b>
<b>AMOUNT OF THIS ACTION (FEDERAL SHARE)</b>	<b>\$23,484</b>

<b>SUMMARY TOTALS FOR ALL YEARS</b>	
<b>YR</b>	<b>AMOUNT</b>
1	\$1,325,755
2	\$1,302,271
3	\$1,302,271

\* Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

**Fiscal Information:**

**CFDA Number:** 93.243  
**EIN:** 1956000927A1  
**Document Number:** 11SM60709A  
**Fiscal Year:** 2011

<b>IC</b>	<b>CAN</b>	<b>Amount</b>
SM	C96C523	\$1,115
SP	C96P115	\$22,369

**SM Administrative Data:**

**PCC:** MAI-TCE / **OC:** 4145

**SECTION II – PAYMENT/HOTLINE INFORMATION – 3U79SM060709-01S1**

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

**SECTION III – TERMS AND CONDITIONS – 3U79SM060709-01S1**

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

**Treatment of Program Income:**

Additional Costs

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**SECTION IV – SM Special Terms and Condition – 3U79SM060709-01S1**

**REMARKS:**

This award includes Supplemental funding of \$23,484.

ALL PREVIOUS STANDARD AND SPECIAL TERMS AND CONDITIONS OF AWARD REMAIN IN EFFECT.

Ilze L Ruditis, Program Official

**Phone:** (240) 276-1961 **Email:** ilze.ruditis@samhsa.hhs.gov **Fax:** (240) 276-1380

Sherie Fairfax, Grants Specialist

**Phone:** 240-276-1415 **Email:** sherie.fairfax@samhsa.hhs.gov **Fax:** 240-276-1430



**Grant Number:** 1U79SM060709-01 REVISED

**Program Director:**  
Jennifer Sayles

**Project Title:** Integrated Behavioral Health and Primary Care Program

Grantee Address	Business Address
LOS ANGELES CNTY OFF OF AIDS PROGS & POL Michael Green Chief 600 South Commonwealth Avenue, 10th Floor Los Angeles, CA 900054049	Michael Green Office of AIDS Programs and Policy Chief 600 South Commonwealth Avenue, 10th Fl. Los Angeles, CA 90005

**Budget Period:** 09/30/2011 – 09/29/2012

**Project Period:** 09/30/2011 – 09/29/2014

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby revises this award (see “Award Calculation” in Section I and “Terms and Conditions” in Section III) to LOS ANGELES CNTY OFF OF AIDS PROGS & POL in support of the above referenced project. This award is pursuant to the authority of under Section 509, 516, and 520 of the PHS Act as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at [www.samhsa.gov](http://www.samhsa.gov) (click on “Grants” then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the “Terms and Conditions” is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,

Sherie Fairfax  
Grants Management Officer  
Division of Grants Management

See additional information below

**SECTION I – AWARD DATA – 1U79SM060709-01 REVISED****Award Calculation (U.S. Dollars)**

Salaries and Wages	\$175,466
Fringe Benefits	\$99,259
Personnel Costs (Subtotal)	\$274,725
Supplies	\$107,356
Consortium/Contractual Cost	\$780,198
Travel Costs	\$5,921
Other	\$42,202
<b>Direct Cost</b>	<b>\$1,210,402</b>
<b>Indirect Cost</b>	<b>\$115,353</b>
<b>Approved Budget</b>	<b>\$1,325,755</b>
<b>Federal Share</b>	<b>\$1,325,755</b>
<b>Cumulative Prior Awards for this Budget Period</b>	<b>\$1,325,755</b>

**AMOUNT OF THIS ACTION (FEDERAL SHARE)** **\$0**

<b>SUMMARY TOTALS FOR ALL YEARS</b>	
<b>YR</b>	<b>AMOUNT</b>
1	\$1,325,755
2	\$1,302,271
3	\$1,302,271

\* Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

**Fiscal Information:**

**CFDA Number:** 93.243  
**EIN:** 1956000927A1  
**Document Number:** 11SM60709A  
**Fiscal Year:** 2011

<b>IC</b>	<b>CAN</b>	<b>Amount</b>
SP	C96HIV6	\$155,733
TI	C96T510	\$164,893
SP	C96P115	\$79,332
SM	C96C523	\$746,580
TI	C96HIV5	\$155,733

**SM Administrative Data:**

**PCC:** MAI-TCE / **OC:** 4145

**SECTION II – PAYMENT/HOTLINE INFORMATION – 1U79SM060709-01 REVISED**

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

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**SECTION III – TERMS AND CONDITIONS – 1U79SM060709-01 REVISED**

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

**Treatment of Program Income:**

Additional Costs

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**SECTION IV – SM Special Terms and Condition – 1U79SM060709-01 REVISED****REMARKS:**

This award reflects approval of the revised budget submitted in response to Special Conditions of Award and removes said conditions.

All previous terms and conditions remain in effect.

**CONTACTS:**

Ilze L Ruditis, Program Official

**Phone:** (240) 276-1961 **Email:** ilze.ruditis@samhsa.hhs.gov **Fax:** (240) 276-1380

Sherie Fairfax, Grants Specialist

**Phone:** 240-276-1415 **Email:** sherie.fairfax@samhsa.hhs.gov **Fax:** 240-276-1430



**Grant Number:** 3U79SM060709-01S1 REVISED

**Program Director:**  
Jennifer Sayles

**Project Title:** Integrated Behavioral Health and Primary Care Program

Grantee Address	Business Address
LOS ANGELES CNTY OFF OF AIDS PROGS & POL Michael Green Chief 600 South Commonwealth Avenue, 10th Floor Los Angeles, CA 900054049	Michael Green Office of AIDS Programs and Policy Chief 600 South Commonwealth Avenue, 10th Fl. Los Angeles, CA 90005

**Budget Period:** 09/30/2011 – 09/29/2012

**Project Period:** 09/30/2011 – 09/29/2014

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby revises this award (see “Award Calculation” in Section I and “Terms and Conditions” in Section III) to LOS ANGELES CNTY OFF OF AIDS PROGS & POL in support of the above referenced project. This award is pursuant to the authority of under Section 509, 516, and 520 of the PHS Act as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at [www.samhsa.gov](http://www.samhsa.gov) (click on “Grants” then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the “Terms and Conditions” is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,

Sherie Fairfax  
Grants Management Officer  
Division of Grants Management

See additional information below

**SECTION I – AWARD DATA – 3U79SM060709-01S1 REVISED****Award Calculation (U.S. Dollars)**

Salaries and Wages	\$175,466
Fringe Benefits	\$99,259
Personnel Costs (Subtotal)	\$274,725
Supplies	\$107,356
Consortium/Contractual Cost	\$780,198
Travel Costs	\$5,921
Other	\$42,202
<b>Direct Cost</b>	<b>\$1,210,402</b>
<b>Indirect Cost</b>	<b>\$115,353</b>
<b>Approved Budget</b>	<b>\$1,325,755</b>
<b>Federal Share</b>	<b>\$1,325,755</b>
<b>Cumulative Prior Awards for this Budget Period</b>	<b>\$1,325,755</b>

**AMOUNT OF THIS ACTION (FEDERAL SHARE)** **\$0**

<b>SUMMARY TOTALS FOR ALL YEARS</b>	
<b>YR</b>	<b>AMOUNT</b>
1	\$1,325,755
2	\$1,302,271
3	\$1,302,271

\* Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

**Fiscal Information:**

**CFDA Number:** 93.243  
**EIN:** 1956000927A1  
**Document Number:** 11SM60709A  
**Fiscal Year:** 2011

<b>IC</b>	<b>CAN</b>	<b>Amount</b>
SP	C96P115	\$22,369
SM	C96C523	\$1,115

**SM Administrative Data:**

**PCC:** MAI-TCE / **OC:** 4145

**SECTION II – PAYMENT/HOTLINE INFORMATION – 3U79SM060709-01S1 REVISED**

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

**SECTION III – TERMS AND CONDITIONS – 3U79SM060709-01S1 REVISED**

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

**Treatment of Program Income:**

Additional Costs

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**SECTION IV – SM Special Terms and Condition – 3U79SM060709-01S1 REVISED**

**REMARKS:**

This award is revised to remove restriction from Indirect Cost , as grantee provided a Division of Cost Allocation Plan.

All previous terms and conditions remain in effect.

Ilze L Ruditis, Program Official

**Phone:** (240) 276-1961 **Email:** ilze.ruditis@samhsa.hhs.gov **Fax:** (240) 276-1380

Sherie Fairfax, Grants Specialist

**Phone:** 240-276-1415 **Email:** sherie.fairfax@samhsa.hhs.gov **Fax:** 240-276-1430



**Los Angeles County Chief Administrative Office  
Grant Management Statement for Grants Exceeding \$100,000**

**Department:** Public Health – Division of HIV and STD Programs

**Grant Project Title and Description:** Integrated Behavioral Health and Primary Care Program

The purpose of this program is to develop and expand culturally competent behavioral health services that include mental health treatment, substance use prevention, substance abuse treatment, and HIV prevention and care services, and to integrate these services into a primary care network for sexual, ethnic, and racial minorities in highly impacted geographic areas in Los Angeles County. The expected outcomes of the program are 1) increased impact of behavioral health programs (higher functionality); 2) reduction in HIV risk and incidence, and; 3) reduction in health disparities.

<b>Funding Agency:</b>	<b>Program (Fed. Grant #State Bill or Code #):</b>	<b>Grant Acceptance Deadline:</b>
Department of Health and Human Services – Substance Abuse and Mental Health Services Administration – Center for Mental Health Services	Grant Number 1U79SM060709-01	Not applicable.

**Total Amount of Grant Funding:** \$1,302,271

**County Match Requirements:** None

**Grant Period:**

**Begin Date:** 09/30/2011

**End Date:** 09/29/2012

**Number of Personnel Hired Under this Grant:**

**Full Time:** 2

**Part Time:** 0

**Obligations Imposed on the County When the Grant Expires**

Will all personnel hired for this program be informed this is a grant funded program? Yes ☒ No ☐

Will all personnel hired for this program be placed on temporary "N" items? Yes ☒ No ☐

Is the County obligated to continue this program after the grant expires Yes ☐ No ☒

If the County is not obligated to continue this program after the grant expires, the Department will:

a). Absorb the program cost without reducing other services Yes ☐ No ☒

b). Identify other revenue sources Yes ☐ No ☒

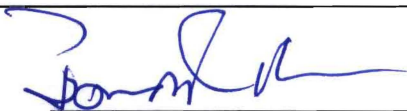
(Describe)

c). Eliminate or reduce, as appropriate, positions/program costs funded by this grant Yes ☒ No ☐

Impact of additional personnel on existing space: None

Other requirements not mentioned above: None

Department Head Signature



Date

3-19-12